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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

06/17/2011 DALLEN 00000013 7285541

Patentees : Martin Gleave et al. 01 FC:1599

620.00 OP

Patent No.

7,285,541

Issued

October 23, 2007

For

TREATMENT OF MELANOMA BY REDUCTION IN

CLUSTERIN LEVELS

Serial No.

10/646,391

Filed

August 21, 2003

30 Rockefeller Plaza

20<sup>th</sup> Floor

New York, NY 10112

June 10, 2011

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

# PETITION TO CORRECT PAYMENT OF MAINTENANCE FEE UNINTENTIONALLY PAID AT SMALL ENTITY RATE

The owner of the above-identified patent hereby petitions to correct payment of a maintenance fee unintentionally paid at the small entity rate. This Communication is being submitted within the six-month grace period set forth in 37 C.F.R. §1.362(e).

On March 25, 2010, a Communication withdrawing the assignee of record's previously submitted claim for small entity status was filed in the above-identified patent. A copy of the Communication is attached hereto as **Exhibit A.** All fees paid prior to the filing of the March 25, 2010 Communication were

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Filed : August 21, 2003

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properly paid while the assignee of record was entitled to small entity status. However, the Patent Office records for the subject patent were not updated following the filing of the March 25, 2010 Communication and continued to incorrectly identify the subject patent as being entitled to small entity status as recently as on April 15, 2011. Because the Patent Office records for the subject patent were not updated, on April 15, 2011 a computerized automated payment service incorrectly paid the 3.5 Year Maintenance Fee at the small entity rate.

To correct the deficiency a check in the amount of \$620.00 is enclosed, including \$490.00 for the fee deficiency in the 3.5 Year Maintenance Fee, and the \$130.00 surcharge for late payment of the 3.5 Year Maintenance Fee set forth in §1.20(h). An itemization of the deficiency in the fee paid follows:

Fee	Small Entity Amount Paid	Date Paid	Current Fee at Large Entity Rate	Amount of Fee Deficiency Owed
3.5 Year Maintenance Fee	\$490.00	April 15, 2011	\$980.00	\$490.00

Surcharge for late payment of 3.5 Year Maintenance Fee within 6 months: \$130.00

Total Amount Due: \$620.00

Finally, please update the U.S. Patent Office records for the subject patent to reflect that the assignee of record is no longer entitled to small entity status.

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No fee, other than the enclosed \$620.00 fee (\$490.00 for deficiency payment and a \$130.00 Surcharge for late payment of the 3.5 Year Maintenance Fee), is deemed necessary in connection with the filing of this Petition. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

Certificate of Mailing

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Robert E Bolcome III

Date

Gary J// Gershik

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Gleave, et al.

Patent No. 7,285,541

Application No.: 10/646,391

Filed: 08/21/2003

Title: Treatment of Melanoma by Reduction

in Clusterin Levels

Attorney Docket No.: UBC.P-035

Customer No.: 057381

Group Art Unit: 1635

Examiner: Amy Hudson Bowman

Confirmation No: 9734

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

# WITHDRAWAL OF CLAIM FOR SMALL ENTITY STATUS

Dear Sir:

Applicant hereby withdraws the previously submitted claim for small entity status. This patent should henceforth be treated as a large entity.

Respectfully submitted,

Marina T. Larson

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Applicant: Martin Gleave et al.

U.S. Serial No.: 7,285,541 Filing Date: August 21, 2003

Exhibit A

Electronic Acknowledgement Receipt					
EFS ID:	7285265				
Application Number:	10646391				
International Application Number:					
Confirmation Number:	9734				
Title of Invention:	TREATMENT OF MELANOMA BY REDUCTION IN CLUSTERIN LEVELS .				
First Named Inventor/Applicant Name:	Martin Gleave				
Customer Number:	57381				
Filer:	Marina T. Larson/Lori South				
Filer Authorized By:	Marina T. Larson				
Attorney Docket Number:	UBC.P-035				
Receipt Date:	25-MAR-2010				
Filing Date:	21-AUG-2003				
Time Stamp:	14:56:56				
Application Type:	Utility under 35 USC 111(a)				

# Payment information:

Submitted with		no						
File Listing:								
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.			
1	Miscellaneous Incoming Letter	20100325_WithdrawalOfSmallE ntityStatus.pdf	58233	no	1			
			1ced693982880ee55a3b1a681bcc91cb025 c3089					
Warnings:					<del></del>			
Information:		***						

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.